

## Attachment C – 115136 O3 Preferred Drug List (PDL) Requirements Traceability Matrix (RTM)

The Requirements Traceability Matrix (RTM) is used to document and track the project's requirements from the proposal to testing to verify that each requirement has been completely fulfilled. The Contractor will be responsible for maintaining the set of Baseline Solution Requirements directly related to the configuration of the Pharmacy and Drug Rebate Services (PDRS) System. Additions, modifications, and deletions to these requirements will be added and modified throughout the project so it is imperative that a current version of the matrix be maintained at all times.

Bidders must provide an initial RTM as part of the proposal. The Bidder must respond to requirements exactly as they are provided in the RTM. The Bidder must describe in sufficient detail, including the processes and procedures, how the solution will meet each requirement and achieve full compliance (i.e., requirement fulfilled 100%).

For each requirement Bidders must complete the following fields:

- Bidding Ability Code – Identifies the extent to which the Bidder's existing solution satisfies the requirement using the appropriate codes provided in the tables below.
- Bidder's Response
  - If Ability Code 'Standard Function' is selected, describe how the requirement is fully satisfied by the existing solution.
  - If Ability Code 'Modification Required' or 'Enhancement Required' is selected, describe how the existing solution needs to be modified or enhanced to fully satisfy the requirement. Include an assessment of the severity and impact to the existing solution, the steps and activities needed to modify or enhance the solution, and the estimated duration to complete the modification. Bidder's using external software that is integrated with the existing solution must identify the software and include in the response.
  - If Ability Code 'Cannot Meet Requirement', the Bidder's Response should not be completed.

Ability Code	Condition	Definition
S	Standard Function	The existing solution fully satisfies the requirement as stated. The existing solution has been previously implemented and certified as applicable.
M	Modification Required	The existing solution does not satisfy the requirement as stated. The existing solution requires a configuration, workflow, or source code modification to fully satisfy the requirement.
E	Enhancement Required	The existing solution does not satisfy the requirement as stated. The existing solution requires an enhancement to implement new configuration, workflow, or source code to satisfy the requirement.
N	Cannot Meet Requirement	The existing solution does not satisfy the requirement as stated and cannot be modified or enhanced to satisfy the requirement.

The RTM is organized by the scope of work and functional area. Following are the definitions for each of the RTM tables:

- RTM ID – identifies the unique scope of work; MDR, PBM, PDL, DUR
- Req. # - the sequential number of each RTM requirement

- ID – the unique id for each functional area within the RTM

## 1. Purchasing Pool and Supplemental Rebate (PSR) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	1	PSR-1	Contractor must complete all necessary requirements for Nebraska Medicaid to join and participate in the Medicaid-only multi-state purchasing pool.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	2	PSR-2	Contractor must negotiate new or renegotiate renewed supplemental rebate contracts with pharmaceutical manufacturers prior to each Pharmacy & Therapeutics Committee meeting and in response to changes in market conditions (e.g., when the Federal Food and Drug Administration (FDA) approves a new agent within a class). All contract discussions and inquiries from manufacturers will be handled by the Contractor.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	3	PSR-3	Contractor must disclose to DHHS all supplemental rebate contracts with the pharmaceutical manufacturers upon request by DHHS.  Contractor must provide assurances that the supplemental rebate agreements are kept confidential.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	4	PSR-4	Contractor must create supplemental rebate invoices to manufacturers quarterly from NDC utilization data provided by DHHS no later than seventy-five (75) days from the end of the quarter.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	5	PSR-5	Contractor must accept documentation of receipt of supplemental rebates after DHHS receives the rebate funds from the manufacturer. Documentation will include, but not be limited to, copies of the check, and other detail. Contractor will assure that all supplemental rebate payments are made to DHHS.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	6	PSR-6	<p>Contractor must perform all dispute resolution activities pertaining to supplemental rebate calculations and collections. Bidder must describe the policies and procedures it will follow for supplemental dispute resolution, including specific timelines for resolution.</p> <p>Contractor must refer all unresolved rebate disputes along with all records of activity relative to the dispute to DHHS and will assist DHHS in completing the resolution, including participating in the State's fair hearing process for rebate dispute resolution (see 471 NAC 1.002.02M which can be found at <a href="http://www.sos.ne.gov/rules-and-regs/regsearch/Rules/Health_and_Human_Services_System/Title-471/Chapter-01.pdf">http://www.sos.ne.gov/rules-and-regs/regsearch/Rules/Health_and_Human_Services_System/Title-471/Chapter-01.pdf</a>).</p> <p>Contractor must report the dollar amount of supplemental rebates in dispute for current and all past quarters by manufacturer and in total. A sample of the report must be submitted with the Technical Proposal.</p>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	7	PSR-7	<p>Contractor must report the expected value of supplemental rebates at supplemental invoice generation. A sample of the report must be submitted with the Technical Proposal.</p> <p>Contractor must provide Supplemental Rebates report quarterly, including quarterly supplemental rebate invoices, and supplemental rebates collected no later than ninety (90) days after the end of the quarter.</p> <p>When there have been adjustments in previous quarters, Contractor must report the previous quarters' gross amounts, with with adjustments detailed by drug class.</p>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	8	PSR-8	Contractor must report the amount of dollars invoiced, received, and adjusted each quarter within fifteen (15) days after the end of the quarter for the CMS 64.9 report.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	9	PSR-9	Solution must accommodate leap year processing.	Choose an item.

Bidder's Response:

## 2. State Plan Amendment (SPA) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	10	SPA-1	Contractor must assist DHHS in drafting any necessary State Plan Amendments and provide the resources necessary to gain CMS approval at the appropriate time.	Choose an item.

Bidder's Response:

## 3. Pharmacy and Therapeutics Committee (PTC) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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PDL	11	PTC-1	Contractor must produce and provide to DHHS and the P & T Committee therapeutic class reviews of each therapeutic class or specific drugs under review by the P & T Committee no later than twenty-eight (28) days before each P & T Committee meeting. These reviews will include evidence-based monographs which summarize the relative safety, comparative efficacy, side effects, dosing, prescribing trends, and indications of each drug within the therapeutic class.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	12	PTC-2	Contractor must produce and provide cost analysis of the therapeutic class to DHHS and the P & T Committee no later than twenty-eight (28) days prior to each P & T Committee meeting. This analysis will include supplemental rebate negotiation and savings analyses based on monthly utilization data from the State's POS contractor for each therapeutic class (or specific drugs out of cycle) under review by the P & T Committee. Savings estimations will be coded to protect the confidentiality of rebate information.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	13	PTC-3	Contractor must provide therapeutic class reviews and savings information to the P & T Committee for each therapeutic class at least annually and recommend preferred drugs based on clinical efficacy and cost efficiencies.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	14	PTC-4	Contractor must provide a clinical account manager, who is a pharmacist, to attend and present clinical and cost information at all P & T committee meetings. The clinical account manager will facilitate the meeting in the review of therapeutic classes, including new medications or indications as approved by the Food and Drug Administration (FDA), and provide recommendations to the P & T Committee and DHHS for appropriate changes to the PDL. Describe the clinical account manager's role and function as it relates to this requirement.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code

PDL	15	PTC-5	Contractor must act as the recording secretary of all P & T Committee meetings and provide detailed and comprehensive draft minutes to DHHS and posted publically within ten (10) Business days after the meeting in accordance with the Nebraska Open Meetings Act.	Choose an item.
Bidder's Response:				

#### 4. Preferred Drugs and Prior Authorization (PPA) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	16	PPA-1	Contractor must develop a PDL that is clinically sound, cost- effective, and minimally disruptive to Nebraska's Medicaid recipients and providers.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	17	PPA-2	Contractor must manage the State's PDL needs, including provision and maintenance of the website to reflect PDL drugs with their preferred or non-preferred designation and updates maintained by the Contractor.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	18	PPA-3	Contractor must develop and maintain a website with listing of historical approved State preferred drug lists. These documents should be readable and downloadable.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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PDL	19	PPA-4	Contractor must possess and maintain technology that interfaces with the State's Point of Sale contractor. Bidder will indicate which drug reference database is proposed.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	20	PPA-5	Contractor must maintain a weekly PDL file with NDCs and specific drug information and send it to DHHS and the MCOs.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	21	PPA-6	Contractor must be available for appearances before the Nebraska Unicameral or other interested parties as requested by DHHS, upon reasonable notice.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	22	PPA-7	Contractor must provide an electronic file containing updates for the PDL to the State's POS contractor within fourteen (14) days after DHHS final approval of P & T Committee PDL recommendations.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	23	PPA-8	Contractor must provide to DHHS not less frequently than weekly new products available on the market, new FDA approved indications for existing products, and new generic introductions.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	24	PPA-9	Contractor's clinical account manager will interact with DHHS to advise of ongoing marketplace conditions that impact the operational function of the PDL. Describe the clinical account manager's role and function as it relates to this requirement.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	25	PPA-10	Contractor must provide interim updates to the PDL between P & T Committee meetings and will provide an electronic file containing interim updates to the PDL to the State's POS contractor on a schedule agreed to by DHHS and the Contractor, but not less frequently than weekly.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	26	PPA-11	Contractor must accept requests for prior authorization by telephone, facsimile, and through a web-based application. Contractor must provide toll-free (in-state and out-of-state) telephone and facsimile numbers for prior authorization requests.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	27	PPA-12	Contractor must handle all requests for prior authorization of non-preferred drugs and use of preferred drugs outside of established guidelines including the determination of coverage or denial of coverage. Contractor must be responsible for the entire prior authorization transaction, including initial determinations, providing Nebraska-licensed physician support for handling requests for reconsideration and for peer-to-peer review consultations when requested, and issuing notices in accordance with Nebraska Medicaid procedures. Contractor must provide documentation and testimony in respect to their decisions on prior authorizations to support DHHS handling of a formal appeal filing. A description of the state's appeal process can be found at 465-NAC-2001.02 Right to Appeal. Contractor must respond to any request for prior authorization within one (1) business day of receipt of the request.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	28	PPA-13	Contractor must develop proposed criteria for prior authorization of non- preferred drugs and use of preferred drugs outside of established guidelines. Contractor must coordinate the development of the prior authorization criteria with the DUR Board. DHHS will determine the final criteria for prior authorization of non-preferred drugs and use of preferred drugs outside of established guidelines. The criteria will be reviewed and updated annually and when a new drug entity becomes available within a class of drugs subject to the Preferred Drug List.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	29	PPA-14	Contractor must design and implement a contact management and reporting system with capabilities to include an electronic recording of all calls and to provide a complete record of communication and documents from providers and other interested parties. Contractor must provide complete online access by Nebraska Medicaid to all computer files and databases that support the system of applicable pharmacy programs.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	30	PPA-15	Contractor must notify prescriber and pharmacy (when known) of any decision regarding non-preferred drugs and use of preferred drugs outside of established guidelines. Recording of the decision must be retrievable and compliant with confidentiality procedures/policies, including Health Insurance Portability and Accountability Act (HIPAA) requirements.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	31	PPA-16	Contractor must be responsible for arranging the immediate transmission of data concerning the prior authorization review and decision on a client basis to the current POS contractor.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	32	PPA-17	Contractor must provide a monthly report of all requests of non-preferred drugs and use of preferred drugs outside of established guidelines and reconsiderations of denials of coverage of non-preferred drugs and use of preferred drugs outside of established guidelines within fourteen (14) days after the end of each month. A sample of the report must be submitted with the Technical Proposal.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	33	PPA-18	Contractor must provide Prior Authorization report quarterly, including numbers of approvals, denials, reconsiderations, and peer-to-peer reviews.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	34	PPA-19	Contractor must provide necessary documentation, as needed, to assist with a State Fair Hearing when a prior authorization request is denied a member.	Choose an item.

Bidder's Response:

## 5. Medical Supply Program (MSP) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	35	MSP-1	Contractor's proposed solution must conduct medical supply rebate programs in accordance with all applicable state and federal laws and policies, including the reconciliation of drug manufacturer payments to invoices, the timely issuance of correct invoices, active and aggressive pursuit of resolution to any and all disputes that may arise, and aggressive pursuit and collection of any unpaid and undisputed invoiced amounts.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	36	MSP-2	Solution must manage the State's medical supply rebate program in compliance with the State Rebate Agreement for the Medical Supply Program and do so in a manner that maximizes rebate returns to the State while providing access to necessary supplies as medically necessary.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	37	MSP-3	Contractor must administer a Medical Supply Program to manage and collect rebates from medical supply manufacturer(s) for medical supplies which include, but not limited to; Glucometers and test strips, continuous glucose monitors and sensors, respiratory spacer/aerosol chambers, peak flow meters, mask devices, and formula.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	38	MSP-4	Contractor must develop and manage a single preferred drug list (PDL), including but not limited to, description, preferred status, limits which are published on DHHS website and accessible to the public.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	39	MSP-5	Contractor must be fully responsible for all medical supply item rebate accounts receivable. This would include, e.g., the aggressive pursuit and collection of disputed rebate amounts and any other invoiced but uncollected supplemental rebate accounts receivable.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	40	MSP-6	Contractor must perform all medical supply program activities in a transparent manner, and in accordance with Medicaid policies.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	41	MSP-7	Contractor must retain no portion of rebates for medical supplies collected on behalf of Nebraska Medicaid. Remit one-hundred percent (100%) of the rebates collected on behalf of Nebraska-Medicaid.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	42	MSP-8	Contractor must be liable to the State in cases in which the Contractor fails to invoice or collect for medical supply item rebates available to the State, or otherwise does not meet the terms of the contract as they relate to this duty. The Contractor shall be liable to the State for the dollar value of any rebates that would have otherwise accrued to the State had Contractor not failed to fully discharge this duty.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	43	MSP-9	Contractor must administer and be responsible for the day-to-day operation and management of all aspects of the State's medical supply program.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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PDL	44	MSP-10	Contractor must ensure that all applicable state and federal laws and policies are at all times fully adhered to by the Contractor in the Contractor's invoicing, collection, and remittal to the State of medical supply item rebate funds.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	45	MSP-11	Contractor must ensure the medical supply rebate program is in compliance with the State Rebate Agreement for any medical supply items and do so in a manner that maximizes rebate returns to the State.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	46	MSP-12	Contractor must ensure that all information necessary for the proper and timely invoicing of all possible medical supply item rebates is acquired and maintained by the Contractor.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	47	MSP-13	Contractor must at all times meet requirements established by DHHS staff regarding transfer of medical supply item rebate funds from the Contractor to the State for medical supply item rebate proceeds, and all associated accounting and reporting functions.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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PDL	48	MSP-14	Contractor must ensure that monthly reconciliation of medical supply item rebate funds meets State-specified standards for quality and timeliness.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	49	MSP-15	Contractor must ensure issuance of accurate medical supply item rebate invoices to medical supply item manufacturers, doing so in a time frame in accordance with DHHS-specified requirements.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	50	MSP-16	Contractor must ensure necessary processes and procedures are in place and utilized to ensure the correct invoicing of units of claims that are other than NDC-coded (e.g., are HCPCS-coded.) This requirement includes all necessary coordination with other Contractors or entities involved in the medical supply item rebate program.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	51	MSP-17	Contractor must ensure that all necessary coding crosswalks (e.g., HCPCS to NDC) are in place and utilized to maximize the State's medical supply item rebate funds.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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PDL	52	MSP-18	Contractor must contact pharmacy providers in instances in which the Contractor determines through rebates invoicing processes and procedures, that the provider's claim(s) data is incorrect or otherwise in question.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	53	MSP-19	Contractor must provide all necessary support, documentation, and testimony in all cases in which manufacturer disputes proceed to administrative or judicial review.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	54	MSP-20	Contractor must in the case of disputes that are attributable to providers not billing claim units correctly, be responsible for contacting the provider to (1) educate the provider as to correct billing units and (2) Contractor must ensure that the provider submits all necessary claims adjustments to reflect correct billing units and charge amount.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	55	MSP-21	Contractor must provide DHHS, on a calendar quarterly basis and in a format as required by DHHS, a report detailing the results of contacts of providers in instances in which the Contractor determines that the provider's claim(s) data is incorrect or otherwise questionable.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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PDL	56	MSP-22	Contractor must coordinate with the provider and, as necessary, with DHHS and Contractor staff in the correction of claims data that is determined as a result of the process in which the Contractor determines, through rebates invoicing processes and procedures, that the provider's claim(s) data is incorrect or otherwise questionable.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	57	MSP-23	Contractor must provide all necessary support in the event of a state or federal audit of the medical supply item rebate program, including but not limited to documentation, testimony and, if required by the state, legal support.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	58	MSP-24	Contractor must at all times ensure that necessary coordination activities occur with all entities involved in Nebraska Medicaid's medical supply item rebate program. This includes, but is not necessarily limited to, the fiscal agent and all programs for which paid claims units are eligible for medical supply item rebates.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	59	MSP-25	Contractor must provide DHHS staff as designated an accounting and reconciliation of medical supply item rebates, doing so monthly and in accordance with requirements and procedures as established by DHHS staff. The reconciliation involves amounts of rebates received by the Contractor, amounts transferred to the State bank account, and amounts reported on the CMS-64.9R form for the quarter.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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PDL	60	MSP-26	Contractor must provide reconciliation, including copies of bank Statements, sent to the State within thirty (30) calendar days after the end of each quarter.  Provide all Contractor-provided portions of the CMS-64R to designated DHHS staff, doing so by the tenth (10th) calendar day following the end of the quarter.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	61	MSP-27	Contractor must ensure the full and complete transition of all medical supply item rebate-related data to any succeeding Contractor, at DHHS direction and in full compliance with a turnover plan provided by DHHS.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	62	MSP-28	Contractor must annually and in accordance with a schedule provided by DHHS, conduct a thorough review and analysis of the State's medical supply item rebate program.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	63	MSP-29	Contractor must subsequent to the annual review and analysis of the State's medical supply item rebate programs, issue a comprehensive report to DHHS, doing so in compliance with a time frame mandated by DHHS.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code

PDL	64	MSP-30	Contractor must annually or other time frame as directed by DHHS, perform an analysis and issue a report to DHHS of other States' medical supply item rebate programs, identifying which States have "best practices" that should be incorporated into Nebraska's medical supply item rebate program.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	65	MSP-31	Contractor must on an ongoing basis, assess the state of readiness of the Nebraska medical supply item rebate programs for auditing from any source (e.g., Federal, State Board of Accounts.)	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	66	MSP-32	Contractor must at least annually, issue a report to DHHS regarding the state of readiness of the medical supply item rebate program for auditing from any source (e.g., Federal, State Board of Accounts.)	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	67	MSP-33	Contractor must take all necessary action to protect the State's rebate-related interests in manufacturer bankruptcy cases, including representing the State in any hearings related to any given bankruptcy matter.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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PDL	68	MSP-34	Contractor must at all times adhere to CMS policy and guidance (even though medical supply items are not drugs) regarding pursuit of rebate amounts involved in manufacturer bankruptcies.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	69	MSP-35	Contractor must adhere to all applicable state and federal requirements pertaining to the medical supply item rebate program, ensuring that the file submitted to the PBM vendor is correctly programmed to effect claims processing fully in accordance with such requirements.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	70	MSP-36	Contractor must coordinate with other entities, as determined by DHHS, in the provision of all file extracts and any other data necessary for the Contractor's invoicing of rebates.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	71	MSP-37	Contractor must provide an electronic file of all medical supply item rebate invoicing and collections, broken out by National Drug Code, CPT or HCPCS, as applicable and doing at a frequency and in a format defined by DHHS.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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PDL	72	MSP-38	Contractor must utilize the Contractor's website for supporting the administration of the medical supply item rebate process, including but not limited to the posting of utilization data, FAQs, and other process-related documents.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	73	MSP-39	Contractor must provide qualified staff as the primary point of contact for medical supply item manufacturers, its representatives, and all other parties, doing so on behalf of DHHS.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	74	MSP-40	Contractor must provide necessary technical assistance to all entities having medical supply item rebate-related data, in order to ensure that all necessary data is available to the Contractor for the purpose of invoicing for rebates.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	75	MSP-41	Contractor must manage rebate status meetings with DHHS to include but not be limited to scheduling, agenda creation, and the creation of meeting minutes and will include Contractor rebate administrative staff and the DHHS staff as designated.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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PDL	76	MSP-42	Contractor must present topics including but not limited to the current status of the State's medical supply rebate program, the goals to be met in the next quarter, suggestions by the Contractor for improvement in the State's medical supply rebate program, and a clear enumeration of any and all current problems experienced by the Contractor that require the assistance or involvement of DHHS.	Choose an item.
Bidder's Response:				

## 6. PDL Reports and Analytics (PRA) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	77	PRA-1	Contractor must provide a PDL/Medical Supply Program Savings Report quarterly, including supplemental rebates and market shift savings per drug class no later than ninety (90) days after the end of each quarter. A sample of the report must be submitted with the Technical Proposal.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	78	PRA-2	Contractor must provide a quarterly report of brand vs. generic dispensing rates.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	79	PRA-3	Contractor must provide a quarterly report of year-to-year changes of supplemental rebates and savings from market shifts for the PDL/Medical Supply Program.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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PDL	80	PRA-4	Contractor must provide monthly reports that detail the compliance of Medicaid providers to the PDL/Medical Supply Program within fifteen (15) days after the end of each month.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	81	PRA-5	Contractor must provide report of Top 25 drugs by total claims, pharmacy reimbursement, and net-net expenditures no later than ninety (90) days after the end of each quarter.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	82	PRA-6	Contractor must provide report of Top 10 drugs by total claims, pharmacy reimbursement, and net-net expenditures no later than ninety (90) days after the end of each quarter.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	83	PRA-7	Contractor must provide a monthly Utilization Statistics Report that includes: <ul style="list-style-type: none"> <li>• Brand/Generic utilization by claim</li> <li>• Amount paid</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	84	PRA-8	Contractor must provide a monthly Pharmacy Drug Spend Report for the PDL/Medical Supply Program that includes spend by category and drug. A sample of the report must be submitted with the Technical Proposal.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	85	PRA-9	Contractor must provide a quarterly Federal Rebate and Supplemental Rebate Report for the PDL/Medical Supply Program that includes: <ul style="list-style-type: none"> <li>• By drug</li> <li>• Details to drill down to all drugs and categories</li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	86	PRA-10	Contractor must provide a monthly PDL/Medical Supply Program Compliance (Drug Formulary) Report by Provider and Specialty.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	87	PRA-11	Contractor must provide a monthly Prior Authorization Report that includes: <ul style="list-style-type: none"> <li>• Number of requests</li> <li>• Number of approvals</li> <li>• Number of denials</li> <li>• Number of cancellations</li> <li>• Number of interventions with Turn Around Time (TAT)</li> </ul> A sample of the report must be submitted with the Technical Proposal.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	88	PRA-12	Contractor must provide, at a minimum, a quarterly Clinical Initiative Report that: <ul style="list-style-type: none"> <li>• Gauge the effectiveness of various clinical initiatives</li> <li>• Movement of market share within given therapeutic categories</li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	89	PRA-13	Contractor must provide a monthly "Emergency Supply" Aggregate Report that includes: <ul style="list-style-type: none"> <li>Monthly listing of all claims paid, submitted by pharmacy as "3-Day Emergency Supply"</li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	90	PRA-14	Contractor must provide a weekly Top 20 Reject Code Report that includes: <ul style="list-style-type: none"> <li>All claims denied for "NDC Not Covered"</li> <li>Reason for denial</li> <li>70 Reject Denial, even if it is not in the Top 20</li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	91	PRA-15	Contractor must have ability to accept all submitted drug claims/encounters from the MCOs and/or DHHS.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	92	PRA-16	Contractor must provide an analytics tool that has the functionality to produce standard reports on all therapeutic classes included in the PDL and not included in the PDL.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	93	PRA-17	Contractor must provide an analytics tool that allows for self-service ad hoc analysis and reporting.	Choose an item.

Bidder's Response:

## 7. Staffing, Training, and Documentation (STD) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	94	STD-1	Contractor must provide implementation and operational staff to support the following functions: <ul style="list-style-type: none"> <li>• Project Management/Support (e.g. requirements, design, development, testing, implementation, etc.)</li> <li>• Development or Configuration Management / Coordination</li> <li>• Testing Management/Coordination</li> <li>• Training Management/Coordination</li> <li>• Certification Management/Coordination</li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	95	STD-2	Contractor must provide operational staff to support the following functions: <ul style="list-style-type: none"> <li>• Multi-State Purchasing Pool</li> <li>• State Plan Amendments</li> <li>• Pharmacy and Therapeutics Committee</li> <li>• Preferred Drug List</li> <li>• Supplemental Rebate Administration</li> <li>• Prior Authorization</li> <li>• Medical Supply Program</li> <li>• Reporting</li> <li>• System Maintenance</li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	96	STD-3	Contractor must provide DHHS, contractor staff, and impacted stakeholders (e.g. manufacturers, pharmacies, etc.) training on the contractor's proposed solution that includes the contractor's applications and operational process.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	97	STD-4	<p>Contractor must provide a draft Training Plan with the proposal. A final detailed Training Plan must be developed, reviewed and approved by DHHS within 45 calendar days of the contract start date. The approved Training Plan must address the following topics for training activities:</p> <ul style="list-style-type: none"> <li>• Approach and scope (including all audience groups);</li> <li>• Training activity, schedule, duration, types (i.e., in person, online, pre-recorded, real time, interactive, etc.), locations, for various stakeholder groups (e.g. state staff, labelers, providers, etc.) by task;</li> <li>• Assurances for providing timely, appropriate training activities for all stakeholders;</li> <li>• Roles and responsibilities for all stakeholder types;</li> <li>• Training to support the initial implementation of solution;</li> <li>• Post implementation training activities and frequency throughout the life of the contract;</li> <li>• Languages that training will be provided in and basis for verifying accuracy of all translations; and</li> <li>• Identification of standardized and ad hoc training materials.</li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	98	STD-5	Contractor must collaborate with DHHS to finalize a training schedule that will be approved by DHHS.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	99	STD-6	Contractor must provide for development and implementation of technical and user training programs.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	100	STD-7	Contractor must provide Training Plan updates on the following basis: <ul style="list-style-type: none"> <li>• Prior to the scheduled pre-solution Implementation training;</li> <li>• Each time a solution change or upgrade is implemented. The updated and DHHS approved plan must be distributed to solution users prior to the implementation of the system change or upgrade; and</li> <li>• A complete review and update must be performed on an annual basis within thirty (30) days of the start of each contract year. The annually updated, DHHS-approved plan must be distributed or made available to all solution users.</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	101	STD-8	Contractor must perform updates to standardized training and communication materials. Updated materials must be reviewed and approved by DHHS on the following basis: <ul style="list-style-type: none"> <li>• At a minimum, on an annual basis in accordance with the training schedule; and</li> <li>• A minimum of ten (10) business days prior to a scheduled training event.</li> <li>• All updates must include a version identifier and date updated notation.</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	102	STD-9	Contractor must provide training to all users of the solution prior to the implementation and on an ongoing basis during operations in accordance with the DHHS-approved Training Plan and Materials.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	103	STD-10	Contractor must provide train-the-trainer sessions for DHHS resources or designated DHHS resources and other staff responsible for training.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	104	STD-11	Contractor must develop and deliver training in a format and modality as agreed upon with DHHS.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	105	STD-12	Contractor must utilize a variety of delivery methods for training, including online self-paced training presentations, in-person classroom setting, written materials, webinars, and demonstrations.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	106	STD-13	Contractor's training materials must be offered in accessible formats consistent with requirements of the Americans with Disabilities Act.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	107	STD-14	Contractor must provide a training environment that is available to DHHS and must maintain and update the training environment with training data to use during user training.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	108	STD-15	Contractor must make training records available to be included in the data available for reporting.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	109	STD-16	Solution must have system and user documentation to allow for efficient maintenance and operational use of the Contractor's solution. The draft version of system and user documentation must: <ul style="list-style-type: none"> <li>• Be submitted within ninety (90) days of the Go Live date;</li> <li>• Be reviewed and approved by DHHS within timeframes agreed in approved work plan;</li> <li>• Address the remaining SUD requirements within this section.</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	110	STD-17	Solution documentation must include a detailed, comprehensive data element dictionary (DED), including, at a minimum: <ul style="list-style-type: none"> <li>• Data element names, numbers, descriptions, and definitions (including length and type).</li> <li>• Valid values with definitions; sources for all identified data elements.</li> <li>• Field calculations.</li> <li>• Table listings for all table(s) elements.</li> <li>• Lists from the DED in multiple sort formats (e.g., data element name, data element number).</li> <li>• Align with industry standards for definition and use as applicable.</li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	111	STD-18	Solution documentation must include descriptions showing the flow of business processes, business rules, and interface(s) with DHHS.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	112	STD-19	Solution must provide on-line help for all of its features, functions, and data element fields, as well as descriptions and resolutions for error messages, using help features including indexing, searching, tool tips, and context- sensitive help topics.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	113	STD-20	All solution documentation must be accessible to users on-line, with a printable version available. Browse and search capabilities must be provided to permit users to easily locate specific information in the documentation (e.g., provider manuals, training, user manuals, etc.).	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	114	STD-21	The solution user manual documentation must include full mock-ups of all screens/windows and provide narrative descriptions of the navigation features for each screen/window.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	115	STD-22	All solution documentation must be organized in a format that facilitates updating and maintenance, including version control.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	116	STD-23	Solution on-line tutorials must provide on-line access to the provider manual and training materials.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	117	STD-24	Solution documentation must include a user guide for the reporting management and repository feature.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	118	STD-25	Solution must provide and keep current all system and user documentation at the time changes and upgrades are applied to the system.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	119	STD-26	Solution must use system design documents that utilize a widely supported modeling language (e.g., UML, BPMN).	Choose an item.
Bidder's Response:				

## 8. Turnover and Contract Closeout (TCC) Requirements

RTM ID	Req.#	ID	Requirement	Bidding Ability Code
PDL	120	TCC-1	At least one hundred eighty (180) days before the end of the Contract, the Contractor must develop and implement a DHHS approved Turnover Plan. The Turnover Plan must be comprehensive detailing the proposed schedule, activities, and resource requirements associated with turnover tasks.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	121	TCC-2	Contractor must work with the successor Contractor for data conversion activities from the current system to the proposed system.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	122	TCC-3	Contractor must turnover all Nebraska data, including archived claims, to DHHS and the successor Contractor.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	123	TCC-4	Contractor must turnover all documents and Repositories, in a format prescribed by DHHS.	Choose an item.
Bidder's Response:				

## 9. Certification Support (CRT) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	124	CRT-1	Contractor shall ensure the system complies with all CMS and State Certification Requirements and provide evidence of compliance as requested by DHHS. Certification will take place under the certification process identified by CMS at the time of the associated ORR and CR reviews and requires ongoing reporting of performance indicators and proof of adherence to security standards. DHHS is currently following the CMS streamlined modular certification (SMC) process	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	125	CRT-2	Contractor staff must be available to provide evidence of system functionality, reporting of key performance indicators, and adherence to security standards for each certification review, as required by CMS. Appropriate Contractor staff must also be available to provide ongoing reporting of key performance indicators and adherence to security standards throughout the system maintenance and operations phase.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	126	CRT-3	Contractor staff must develop the system acceptance criteria prior to the development of the system functionality and ensure the development team understands the level of documentation needed to satisfy DHHS requirements.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	127	CRT-4	Contractor staff must assist DHHS with system acceptance testing to determine whether the system satisfies DHHS requirements.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	128	CRT-5	Solution must be CMS certifiable through correct design, implementation, documentation, and support.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	129	CRT-6	Contractor must participate as required by DHHS during CMS reviews and other certification meetings.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	130	CRT-7	Contractor must populate a DHHS certification document repository, as each required item/artifact is completed and approved.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	131	CRT-8	Contractor must utilize CMS Medicaid Enterprise Testing Guidance Framework to perform system testing activities.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	132	CRT-9	Contractor must correct all required remediation activities related to certification findings on a schedule to be approved by CMS and DHHS.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	133	CRT-10	Solution must adhere to the CMS MITA framework version 3.0 and later or work with DHHS on a framework that support the modular, interoperable concept, as related to systems, data, use of common data standards, and efficient and reliable data interchange with the existing Nebraska and new Medicaid Systems, which is moving toward a modular system based on Service Oriented Architecture design principles and the MITA framework. For more information on MITA, visit <a href="https://www.medicaid.gov/medicaid/data-and-systems/mita/index.html">https://www.medicaid.gov/medicaid/data-and-systems/mita/index.html</a>	Choose an item.
Bidder's Response:				

## 10. Technical (TNL) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	134	TNL-1	The solution must use a rules engine-like technology where possible to ensure that the business rules are separate from the programming code and the rules can be configured and maintained by businesspeople. The solution system should be configurable as opposed to being hardcoded. The system needs to be data-driven so that business parameters and code lookup tables can be easily updated without changing the application program logic.	Choose an item.

Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	135	TNL-2	The solution must allow publishing data exports in industry-standard formats (XML, JSON, CSV, Excel) to support data upload into the State Data Warehouse tools and systems including platforms like Snowflake and Tableau where appropriate. The solution must export system queries into other common formats to be used externally (e.g., Excel, CSV).	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	136	TNL-3	Solution must comply with accessibility requirements described in 45 CFR 85 and with State of Nebraska accessibility requirements located at <a href="http://www.nitc.state.ne.us/standards/index.html">http://www.nitc.state.ne.us/standards/index.html</a> .	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	137	TNL-4	<p>The Solution must provide a comprehensive auditing framework that provides the following features</p> <ul style="list-style-type: none"> <li>• Maintain a record of all changes made to any item within the system (e.g., data element, business rule, process control, software program), the ID of the person or process that made the change, before and after images of the affected data records, and the date and time the change was made.</li> <li>• Archive and retain audit data based on state retainage requirements</li> <li>• Allow DHHS users to view, filter, and sort the system audit trail, and export audit data in a standardized format (e.g., XML, CSV, ASCII, and RTF).</li> </ul>	Choose an item.

			<ul style="list-style-type: none"> <li>• Provide a configurable option to allow the audit of usage by screen, by data on the screen, and by the user, based on specified timeframes.</li> <li>• provide an audit trail or log which identifies all access to PHI</li> <li>• Retain Audit trail or log data used to identify access to protected health information for a minimum of ten (10) years</li> </ul>	
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	138	TNL-5	<p>The Contractor must describe their maintenance approach for their software product/solution that ensures the following:</p> <ul style="list-style-type: none"> <li>• All hardware, software, and communication components installed for use by state staff are compatible with the State's currently supported versions of the Microsoft Operating System, Microsoft Office Suite, and the Chrome Browser, and current technologies for data interchange.</li> <li>• The Solution is browser agnostic and must be maintained, updated, and supported with a cadenced and planned schedule. DHHS currently uses Chrome as the browser standard. For provider and client-facing systems, the State of Nebraska requires that the systems support industry-standard browsers such as Chrome, Firefox, Safari, and Microsoft Edge. The Solution should support the current versions of these browsers with minimum backward compatibility for two older browser versions. The Solution roadmap should include plans to maintain compatibility with future browser versions. If a mobile application is offered, it should support both Apple and Android operation systems with at least the current OS plus the prior two versions.</li> <li>• maintain all hardware and software products required to support the Solution at the most current to -2 version, including patches, fixes, upgrades, and releases for all software, firmware, and operating systems. Any security patches must be maintained at the most current level after thorough testing.</li> <li>• Keep current all software version upgrades within 6 months of release or with approval from State for a modified schedule.</li> <li>• Maintain a product roadmap (updated at a minimum on an annual basis) that provides details regarding planned updates, the timing of product versions/releases, end of support (EOS), and end of life (EOL) for current and past versions. The roadmap should contain information regarding third-party products that the Solution utilizes.</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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PDL	139	TNL-6	Solution must provide context sensitive help (situational clarification and support associated with process specific steps), to support user activities (e.g., maintenance activities).	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	140	TNL-7	<p>The solution must operate and must meet the following SLA's</p> <ul style="list-style-type: none"> <li>• Solution must be available to providers and the State twenty-four (24) hours a day, seven (7) days a week, three hundred sixty-five (365) days per year. The solution must notify in advance, within one (1) business day, DHHS and other contractors when the system will be unavailable due to maintenance.</li> <li>• Solution must return to operations (RTO) within twenty-four (24) hours following an incident (e.g. disaster, power loss, etc.).</li> <li>• Solution must provide for a five (5) minute recovery point objective (RPO) for manual updates, and as necessary to support the RTO requirement.</li> <li>• The off-site system must be operational within twenty-four (24) hours following a service disruption.</li> <li>• The System online access should have a response time of less than 2 seconds for queries and less than 5 seconds for inserts and updates.</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	141	TNL-8	<p>Solution must have a Business Continuity and Disaster Recovery (BC/DR) Plan to ensure recovery of all system components in the event of a disaster. The draft version of the BC/DR Plan must:</p> <ul style="list-style-type: none"> <li>• Be submitted with the proposal;</li> <li>• Be reviewed and approved by DHHS within timeframes agreed in approved work plan.</li> <li>• Be compliant with Federal Guidelines identifying every resource that requires backup and to what extent backup is required.</li> <li>• The BC/DR Plan must, at a minimum, address the following elements: <ul style="list-style-type: none"> <li>○ Establish the purpose and scope of the BC/DR Plan;</li> <li>○ Acknowledge and ensure compliance with applicable HIPAA and HITECH standards;</li> <li>○ Describe the approach and strategy to disaster recovery and business continuity;</li> <li>○ Describe how the plan will meet the MDR specific RTO and RPOs</li> <li>○ Establish roles and responsibilities for managing disaster recovery and business continuity;</li> <li>○ Identify risk areas;</li> <li>○ Describe protocols for managing disaster recovery and business continuity (during and after);</li> <li>○ Describe the approach to ongoing testing and validation of the BC/DR Plan;</li> <li>○ Describe the frequency of updates. At a minimum, the plan must be updated annually, or as needed more frequently.</li> </ul> </li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	142	TNL-9	The contractor must perform an annual disaster recovery test demonstrating the efficacy of the BC/DR plan and provide an after-action report (AAR) of the test results to DHHS. The report must detail, the scope of the test, what was a success, what failed, what can be improved, and a plan to address those items. Full data restore capability must be demonstrated with no loss of data. The contractor must comply with and assist DHHS in updating and testing existing Security and Disaster Recovery/Business Resumption Plans.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	143	TNL-10	Solution must provide real time monitoring and alerting for all system components for performance, errors, warnings, and capacity. Also, the Contractor must submit a system performance report with actual system availability and response times to DHHS monthly. Report should calculate based on 24x7 hours less approved maintenance windows. Reports should calculate to the minute. Downtime should be calculated from a full solution level with component calculations optional.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	144	TNL-11	Contractor must understand the business workflows, rules and data flows to design, configure and develop efficient integration mechanism with the other systems in the DHHS Enterprise.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	145	TNL-12	The solution integration framework must be standards-based and must meet the following <ul style="list-style-type: none"> <li>All data exchanges including inbound and outbound interfaces shall align with the MITA framework and comply with industry standards where applicable (e.g., National Information Exchange Model (NIEM), National Institute of Standards and Technology (NIST), HIPAA-compliance standards, Health level 7 (HL7), Fast Healthcare Interoperability Resources (FHIR)). (164)</li> </ul>	Choose an item.

			<ul style="list-style-type: none"> <li>• The solution must support the use of XML/JSON standards to ensure interoperability. (159)</li> <li>• The solution must comply with the state's existing data interface standard(s) for automated electronic intrastate interchanges and interoperability.</li> <li>• The solution must support multiple web services standards, including web services, specifications, and adapters (WSDL, WS-*, SOAP, REST, UDDI, ODATA), support standard databases such as MS SQL, SQL Server, Oracle and support integration transfer protocols such as FTPS, SFTP, HTTPS, MSMQ).</li> </ul>	
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	146	TNL-13	Solution must monitor all integrations and interfaces. The solution must identify errors in the integrations (batch, web services, APIs) and immediately notify the required system(s) of the specific errors, where possible.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	147	TNL-14	<p>The solution must provide a comprehensive framework for exchanging data with other modules and systems and should meet the following</p> <ul style="list-style-type: none"> <li>• The Solution must provide multiple mechanisms of integrating with the existing and planned Nebraska DHHS systems</li> <li>• The architecture must enable the system to exchange data efficiently, effectively, and appropriately with other participants in the DHHS enterprise</li> <li>• The solution must have the capability to implement RESTFUL API and/or SOAP-based web services for real-time integration with both State and external systems. The State prefers API first based integration approach for future planned systems.</li> <li>• When using APIs, the solution must support using the State API Gateway when interfacing within the agency and with intrastate agencies</li> <li>• The solution must support the update of data integration points with the Nebraska DHHS Systems as DHHS systems are upgraded or replaced</li> <li>• The solution must use technology-neutral interfaces that localize and minimize the impact of new technology insertion or replacement.</li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	148	TNL-15	The Contractor must design, develop, and maintain interfaces that support integration with other systems. Each Application Program Interface (API) or batch interface and components that will interface with the other modules and the Systems Integration Services will be documented using the State-provided ICD template. The Interface Control Document (ICD) which will include data layout documentation, data mapping crosswalk, inbound/outbound capability, and frequency of all interfaces. As new interfaces are required, ICDs for those will be created and shared with, and reviewed and approved by DHHS.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	149	TNL-16	Solution must support the use of existing data interface layouts to minimize disruption to existing systems and operations. Solution must support transferring data files using secure protocols such as SFTP. The Solution however must also support data transfer using REST APIs (Application Programming Interfaces) and implement industry standards for interfaces where existing data exchanges to do not exist.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	150	TNL-17	The Solution must develop and deliver data models (conceptual, logical, and physical) that include mapping of information exchange with external organizations. The data model must meet the following requirements <ul style="list-style-type: none"> <li>• Conceptual Data Model must depict the business area high-level data and general relationships for intrastate exchange.</li> <li>• Logical Data Model (LDM) must support the identification of data classes, attributes, relationships, standards, and code sets for intrastate exchange</li> <li>• The physical data model must document data objects (for example, tables, columns, primary and foreign keys) and their relationships</li> <li>• Documentation must include layouts for all files and database tables including relationships, tables with fields, and keys</li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	151	TNL-18	The Department prefers cloud-based hosting for the solution. The delivery of the solution/services should be seamless with the hosting solution providing the flexibility to integrate other solutions for security and regulatory purposes in the future and be cost-effective and scalable.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	152	TNL-19	<p>Contractor must implement, host, and manage access to the following system environments according to federal and state standards (e.g. interoperability, privacy, security, etc.):</p> <ul style="list-style-type: none"> <li>• Development</li> <li>• Test</li> <li>• Training</li> <li>• Production</li> </ul> <p>Solution must utilize these environments to allow components to be added or replaced quickly and non-disruptively.</p>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	153	TNL-20	The Contractor must continuously monitor the health of the infrastructure according to the performance expectations outlined in the contract to ensure minimal impact on business operations. The Contractor must report, set alerts and reminders proactively to any degradation of the performance of the infrastructure	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code

PDL	154	TNL-21	Contractor must be able to process the CMS Drug Rebate Utilization and Tag File for supplemental rebate invoicing.	Choose an item.
Bidder's Response:				

## 11. Privacy and Security (PVS) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	155	PVS-1	Solution must comply with all applicable laws and regulations regarding privacy, including but not limited to the Health Insurance Portability and Accountability Act (HIPAA), DHHS IT Security Policy, NITC Standards and Guidelines, and the provisions contained in the Business Associate Agreement Provisions – Attachment I.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	156	PVS-2	<p>Solution must meet and Contractor must document compliance with NIST SP 800-53 and/or NIST SP 800-171, SP 800-53A security and privacy standards, and 508 compliance/VPAT testing through the completion of a System Security Plan (SSP) per Attachment K prior to Go-Live. Contractor must provide a Plan of Action and Milestones (POA&amp;M) for any items not fully compliant.</p> <ul style="list-style-type: none"> <li>• Compliance is subject to a qualified independent security controls assessment prior to solution implementation.</li> <li>• Security and privacy control requirements may be met by confirmed attestation of compliance (e.g., FedRAMP, SOC).</li> <li>• The Contractor will be responsible for engaging a qualified independent security controls assessment contractor. DHHS shall approve the selection of the security assessment contractor.</li> <li>• Bidder must submit a sample of the SSP with the Technical Proposal.</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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PDL	157	PVS-3	Solution must document the data sharing and security agreement for any interfaces with external information systems (e.g., solution to outside of the state's authorization boundary). The State recommends the use of CMS Interconnection Security Agreement (ISA)Template <a href="https://www.cms.gov/Research-Statistics-Data-and-Systems/CMS-Information-Technology/InformationSecurity/Info-Security-Library-Items/Interconnection-Security-Agreement-ISA-Template">https://www.cms.gov/Research-Statistics-Data-and-Systems/CMS-Information-Technology/InformationSecurity/Info-Security-Library-Items/Interconnection-Security-Agreement-ISA-Template</a>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	158	PVS-4	Solution must provide an efficient and secure method for viewing and exchanging information (CMS files, invoices, emails, messages, etc.) with DHHS, CMS, Labelers, and other contractors.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	159	PVS-5	Authorized user(s) must have access to user activity history and other management functions, including but is not limited to log-on approvals/ disapprovals and log search and playback.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	160	PVS-6	Solution must be hosted in an environment that has a Federal Risk and Authorization Management Program (FedRAMP) Certification, FedRAMP Risk Assessment that indicates compliance, has a documented NIST 800-53, or is Statement on Standards for Attestation Engagements (SSAE-16) SOC 1 Type 2 and SOC 2 Type 2 compliant.	Choose an item.
Bidder's Response:				

## 12. Project Management and Implementation (PMI) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	161	PMI-1	Contractor must utilize, maintain, and facilitate an industry recognized project management process, tool, and documentation, such as the Project Management Institute's (PMI's) Project Management Body of Knowledge (PMBOK) or Scaled Agile Framework in order to complete the scope of work. Contractor must follow agreed upon project management lifecycle process, and work with DHHS project management resources to align activities and resources during the implementation and operations phases of the contract.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	162	PMI-2	<p>Contractor must develop and maintain a Project Management Plan (PMP). The PMP minimally must include the following:</p> <ul style="list-style-type: none"> <li>• Communications Plan</li> <li>• Change Management Plan</li> <li>• Staffing Management Plan</li> <li>• Quality Management Plan</li> <li>• Risk Management Plan</li> <li>• Issue Management Plan</li> <li>• Work Breakdown Structure</li> </ul> <p>The PMP plan must be reviewed and approved by DHHS staff, and any identified adjustments will be made prior to signoff. A sample of the PMP plan must be submitted with the Technical Proposal.</p>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	163	PMI-3	Contractor must utilize, maintain, and facilitate Risk Management Process, supporting documentation, and tools (such as a RAID log) to manage project issues and risks.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	164	PMI-4	Contractor must participate in all levels of project governance as necessary, to include, but is not limited to the steering and operating committees, and change control board.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	165	PMI-5	Contractor must participate in and capture notes from all necessary project meetings. The contractor must be responsible for creation and dissemination of all project meeting agendas, minutes, and necessary documentation.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	166	PMI-6	Contractor must facilitate a project initiation kickoff meeting with key stakeholders and create a kickoff meeting presentation targeted to specific scope and audiences. The presentation must be submitted to and approved by DHHS.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	167	PMI-7	Contractor must provide all deliverables and/or documentation as identified in the project work plan.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	168	PMI-8	<p>Contractor must utilize, maintain, and facilitate a deliverable development and maintenance process. The contractor must take the following into account in the process:</p> <ul style="list-style-type: none"> <li>• Deliverables must be delivered in a consistent format that includes change history, version control, and approval page.</li> <li>• The size and complexity of the deliverables must be taken into account when determining the length of time available for review cycles. Collaboration with DHHS staff for review turnaround expectations is required.</li> <li>• Any change control processes must be taken into consideration.</li> <li>• Contractor facilitated walkthroughs of draft deliverables must be used when requested.</li> <li>• DHHS staff capacity to support simultaneous review of numerous deliverables.</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	169	PMI-9	<p>Contractor must submit and update a project status report to support the steering and operating committee meetings. The report must contain the following at a minimum:</p> <ul style="list-style-type: none"> <li>• Current project work plan and schedule with percentage complete for milestones and tasks.</li> <li>• Overall completion status.</li> <li>• All past due tasks or milestones and the plan(s) for completing them.</li> <li>• Planned tasks and activities for the next 30 days.</li> <li>• Identification of any staffing issues or changes.</li> <li>• Current status on all identified issues and mitigation proposed.</li> <li>• Current status on all identified risks and mitigation steps.</li> <li>• Current status on testing and metrics.</li> <li>• Current status on performance standards.</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	170	PMI-10	<p>Contractor must develop and maintain a detailed project work plan (PWP) that includes milestones, tasks, planned start and finish dates, actual start and finish dates, work hours, and assigned resources. The PWP must be developed and maintained in Microsoft Project, and also be represented visually (e.g. Gantt Chart). The contractor must provide DHHS the PWP in Adobe PDF and Microsoft Excel formats. A sample of the PWP must be submitted with the Technical Proposal.</p>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code

PDL	171	PMI-11	Contractor must utilize the DHHS project repository for maintaining project documentation and deliverables. DHHS currently uses Microsoft SharePoint and will provide access to the contractor's staff. The contractor must provide Microsoft SharePoint training for the contractor's staff as necessary.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	172	PMI-12	Contractor must develop and maintain an Implementation Plan (IP) that includes the pre-Go Live, Go Live, and post-Go Live activities and implementation progress reporting. Post-Go Live activities must include an online end user survey to solicit feedback on the implementation results. Contractor must resolve customer friction points as identified through customer inquiries. The contractor must submit the draft IP to DHHS for review and approval.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	173	PMI-13	Contractor must develop and maintain a Communication Plan (CP). The contractor must submit the draft CP to DHHS for review and approval.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	174	PMI-14	Contractor must utilize, maintain, and facilitate an industry recognized systems development lifecycle process and documentation in order to implement Solution. Documentation must include environment configuration, code migration and deployment processes.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	175	PMI-15	Contractor must utilize, maintain, and facilitate an industry recognized requirements management process, tool, and supporting documentation to elicit, document, and maintain the contractor's solution requirements.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	176	PMI-16	Contractor must provide all mutually agreed upon implementation work products and deliverables identified in the PWP to DHHS staff for review and approval and follow the agreed upon deliverable review process. Work products and deliverables include requirements, design, development, testing, pre-implementation (e.g. training, data conversion, etc.), go live, and post-implementation.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	177	PMI-17	Contractor must develop and maintain a Test Management Plan that minimally includes roles and responsibilities, planning and execution activities, testing methodology and approach, progress reporting, defect management, and testing tool(s). The Test Management Plan must include the testing phases (e.g. unit, system, integration, performance, user acceptance, end to end testing) and activities required for each environment and interface. The Test Management Plan must align with the CMS Testing Framework.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	178	PMI-18	Contractor must develop and maintain an Integration Plan that details the milestones, tasks, schedule, and dependencies for establishing interfaces with the Contractor's solution.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	179	PMI-19	Contractor must develop and maintain Automated Testing Scripts that can be executed when modifications and configuration changes are made to the Solution. Contractor must conduct regression testing on modification and configuration changes. Contractor must execute prior to Go Live to demonstrate successful execution of scripts, and during operations. Contractor will review and update Automated Testing Scripts, as necessary.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	180	PMI-20	Contractor must utilize, maintain, and facilitate a Change Control Process and supporting documentation (e.g. change request form, change log) to manage changes throughout implementation. Jira is an acceptable tool.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	181	PMI-21	Contractor must develop and execute a Data Migration Plan for migrating the DHHS existing application data to the Solution. The plan must minimally include roles and responsibilities, planning and execution activities, data migration and validation approach, and reporting,	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	182	PMI-22	Solution must support data integrity through system controls for software program changes and promotion to production.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code

PDL	183	PMI-23	Contractor must utilize, maintain, and facilitate a Performance Standard Management Process and documentation to monitor, manage, and report on the contractor's adherence to the contract performance standards.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	184	PMI-24	Contractor must conduct an Implementation Assessment that includes an analysis of the state's existing solution and operational processes, and must provide a detailed plan for transitioning data and operations, including process changes, to the contractor's solution. The Transition Plan must track DHHS state of readiness to transition to the Contractor's solution and operational processes.	Choose an item.
Bidder's Response:				